PTO/SB/05 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032

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## **UTILITY** PATENT APPLICATION **TRANSMITTAL**

Attorney Docket No.	385478006US	9
First Inventor	Bennett M. Butters	
Title	SYSTEM AND METHOD FOR SAMPLE DETECTION BASED ON LOW-FREQUENCY SPECTRAL COMPONENTS	857
Express Mail Label No.	EV335516226US	2

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))	xpress Mail Label No. EV335516226	<del></del>				
APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO:  Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450					
1.  Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)  2.  Applicant claims small entity status. See 37 CFR 1.27.  3.  Specification [Total Pages 31] (preferred arrangement set forth below) - Descriptive title of the Invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings ( if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure  4.  Drawing(s) (35 U.S.C.113) [Total Sheets 10]  5. Oath or Declaration [Total Pages 2]  a.  Newly executed (original or copy) b.  Copy from a prior application (37 CFR 1.63 (d)) (for a continuation/divisional with Box 18 completed)	Alexandria, VA 22313-1450  7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)  8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  a. Computer Readable Form (CRF)  b. Specification Sequence Listing on:  i. CD-ROM or CD-R (2 copies); or  ii. paper  c. Statements verifying identity of above copies  ACCOMPANYING APPLICATIONS PARTS  9. Assignment Papers (cover sheet & document(s))  10. 37 C.F.R.§3.73(b) Statement Power of (when there is an assignee) Attorney  11. English Translation Document (if applicable)  12. Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations  13. Preliminary Amendment  14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)					
i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).  6. Application Data Sheet. See 37 CFR 1.76	<ul> <li>15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)</li> <li>16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</li> <li>17. ☒ Other: Check in the amount of \$434</li> </ul>					
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:  ☑ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: PCT / US03/11834 filed 4/18/2003 Prior application information: Examiner ☐ Group / Art Unit:  For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.						
☑ Claims the benefit of <u>U.S. Provisional Application Nos. 60/37-</u>		1/433,361 filed 12/12/2002				
19. CORRESPONDENCE ADDRESS  ☐ Customer Number						
Name Address City		Zip Code				
Country	Telephone	Fax				
Name (Print/Type) Christopher J. Daley-Watson Signature	Registration No. (Attorney/Agent)  Date	34,807 March 19, 2004				
Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.  EXPRESS MAIL CERTIFICATE  I hereby certify that this communication is being deposited with the United States Postal Service via Express Mail						
No. EV335516226US under 37 C.F.R. § 1.10 on the date indicated below addressed to: Mail Stop Patent Application,						

Commissioner	tot H	ratents,	P.U.	ROX	1450,	Alexandri	a, va	2231	3-14	+OU

March 19, 2004

Date of Deposit

Stephen P. Whelen



PTO/SB/17 (10-03)

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Attorney Docket No.

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Complete if Known EV335516226US Express Mail No. Application Number Filing Date Bennett M. Butters First Named Inventor **Examiner Name** Art Unit

385478006US

Applicant claims small entity status. See 37 CFR 1.27

TC

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METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)				
Check Credit card Money Other None		DDITIO	DNAL	FEES	
Order		Entity	Small	Entity	
Deposit Account:		Fee	Fee		Fee Description Fee Paid
Deposit Account Number 50-0665	Code	(\$)	Code	(\$)	
Deposit Account Name Perkins Coie LLP	1051	130	2051	65	Surcharge – late filing fee or oath
The Commissioner is authorized to: (check all that apply)	1052	50	2052	25	Surcharge – late provisional filing fee or
☐ Charge fee(s) indicated below ☐ Credit any overpayments	1053	130	1053	130	cover sheet Non-English Specification
Charge any additional fee(s) during the pendency of this application	1812	2,520	1812	2,520	For filing a request for ex parte
Charge fee(s) indicated below, except for the filing fee	'0'2	2,020	'0'-	2,020	reexamination
to the above-identified deposit account.	1804	920*	1804	920*	Requesting publication of SIR prior to
FEE CALCULATION		4 0 40+	1,005	4 0 40+	Examiner action
1. BASIC FILING FEE	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action
Large Entity Small Entity   Fee Fee   Fee Fee Fee Paid	1251	110	2251	55	Extension for reply within first month
Code (\$) Code (\$)	1252	420	2252	210	Extension for reply within second month
1001 770 2001 385 Utility filing fee 385	1253	950	2253	475	Extension for reply within third month
1002 340 2002 170 Design filing fee	1254	1,480	2254	740	Extension for reply within fourth month
1003 530 2003 265 Plant filing fee	1255 1401	2,010 330	2255	1,005 165	Extension for reply within fifth month Notice of Appeal
1004 770 2004 385 Reissue filing fee	1402	330	2401	165	Filing a brief in support of an appeal
1205 160 2005 80 Provisional filing fee	1403	290	2403	145	Request for oral hearing
SUBTOTAL (1) (\$) 385	1451	1,510	1451	1,510	Petition to institute a public use proceeding
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE		110	2452	55	Petition to revive - unavoidable
	1452 1453	1,330	2453	665	Petition to revive - unintentional
Fee from  Extra Claims below Fee Paid	1501	1,330	2501	665	Utility issue fee (or reissue)
Total Claims 21 -20** = 1 X 9 = 9	1502	480	2502	240	Design issue fee
Independent Claims 3 - 3** = 0 X 0 = 0	1503	640	2503	320	Plant issue fee
Multiple Dependent 0 = 0	1460	130	1460	130	Petitions to the Commissioner
Large Entity Small Entity	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)
Fee Fee Fee <u>Fee Description</u>	1806	180	1806	180	Submission of Information Disclosure Stmt
Code (\$) Code (\$) 1202 18 2202 9 Claims in excess of 20	8021	40	8021	40	Recording each patent assignment per
	1		1		property (times number of properties) 40
1201 86   2201 43   Independent claims in excess of 3   1203 290   2203 145   Multiple dependent claim, if not paid	1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))
1204 86 2204 43 ** Reissue independent claims over original patent	1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1801	770	2801	385	Request for Continued Examination (RCE)
SUBTOTAL (2) (\$) 9	1802	900	1802	900	Request for expedited examination of a design application
Other fee (specify)					
	*Redu	ced by E	Basic Fil	ing Fee !	Paid SUBTOTAL (3) (\$) 40
**or number previously paid, if greater; For Reissues, see above					
	_				

SUBMITTED BY (Complete (if applicable)								
Name (Print/Type)	Christopher J. Daley-Watson	Registration No. (Attorney/Agent) 34,807	Теlерһопе (206) 359	9-8000				
Signature		Mul	Date March 1	9, 2004				

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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